

A NEW COMPLEX FREQUENCY SPECTRUM
FOR THE ANALYSIS OF TRANSMISSION PROPERTIES
IN PERTURBED WAVEGUIDES

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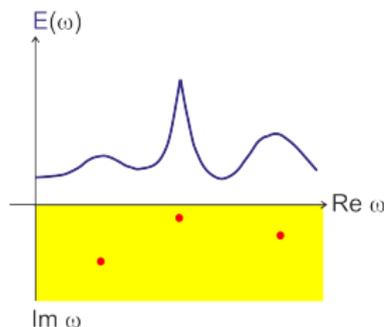
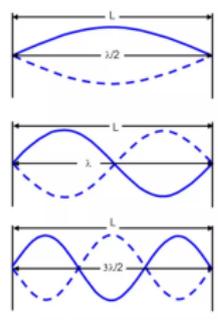
Toulouse, October 2018



SPECTRAL THEORY AND WAVE PHENOMENA

The **spectral theory** is classically used to study **resonance** phenomena:

- **eigenfrequencies** of a string, a closed acoustic cavity, etc...
- **complex resonances** of “open” cavities (with leakage)

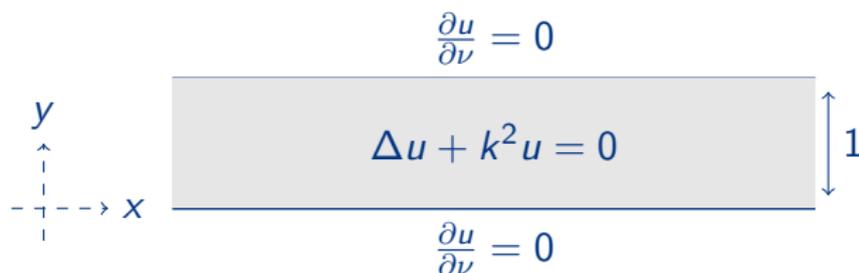


A new point of view: find similar spectral approaches to quantify the efficiency of the **transmission in a waveguide**.

Waveguides play an important role in optical and acoustical devices.

TIME-HARMONIC SCATTERING IN WAVEGUIDE

The acoustic waveguide: $\Omega = \mathbb{R} \times (0, 1)$, $k = \omega/c$, $e^{-i\omega t}$



- A finite number of **propagating** modes for $k > n\pi$:

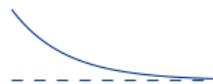
$$u_n^\pm(x, y) = \cos(n\pi y) e^{\pm i\beta_n x} \quad \beta_n = \sqrt{k^2 - n^2\pi^2}$$

(+/- correspond to **right/left** going modes)



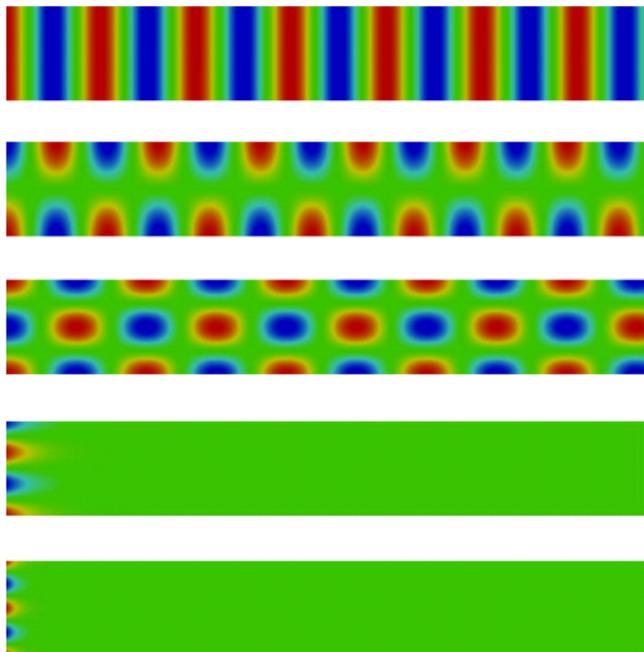
- An infinity of **evanescent** modes for $k < n\pi$:

$$u_n^\pm(x, y) = \cos(n\pi y) e^{\mp \gamma_n x} \quad \gamma_n = \sqrt{n^2\pi^2 - k^2}$$



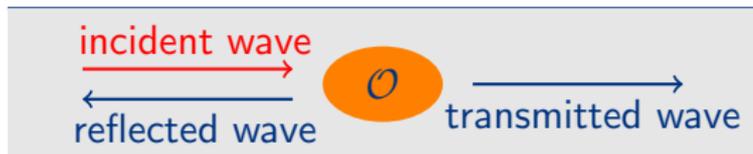
TIME-HARMONIC SCATTERING IN WAVEGUIDE

An example with 3 propagating modes:



TIME-HARMONIC SCATTERING IN WAVEGUIDE

$$\begin{aligned} \mathcal{O} &\subset \Omega \\ \inf(1 + \rho) &> 0 \\ \text{supp}(\rho) &\subset \mathcal{O} \end{aligned}$$



- The total field $u = u_{inc} + u_{sca}$ satisfies the equations

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

- The incident wave is a superposition of propagating modes:

$$u_{inc} = \sum_{n=0}^{N_p} a_n u_n^+$$

- The scattered field u_{sca} is outgoing:



SCATTERING PROBLEM AND TRAPPED MODES

By Fredholm analytic theory:

THEOREM

The scattering problem is well-posed except maybe for a countable set \mathcal{I} of frequencies k at which trapped modes exist.

SCATTERING PROBLEM AND TRAPPED MODES

THEOREM

The scattering problem is well-posed except maybe for a countable set \mathcal{T} of frequencies k at which **trapped modes** exist.

DEFINITION

A **trapped mode** of the perturbed waveguide is a solution $u \neq 0$ of

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

such that $u \in L^2(\Omega)$.



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- There is a huge literature on trapped modes: **Davies, Evans, Exner, Levitin, McIver, Nazarov, Vassiliev, ...**
- Existence of trapped modes is proved in specific configurations (for instance symmetric with respect to the horizontal mid-axis) (**Evans, Levitin and Vassiliev**)

NO-REFLECTION

At particular frequencies k , it occurs that, for some u_{inc} ,

$$x \rightarrow -\infty \quad u_{sca} \rightarrow 0$$

We say that the obstacle \mathcal{O} produces **no reflection**. The wave is **totally transmitted**. And the obstacle is **invisible** for an observer located far at the left-hand side.

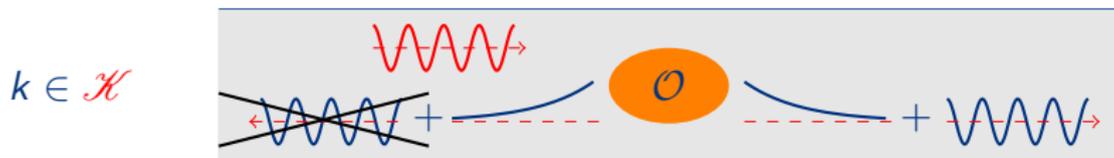


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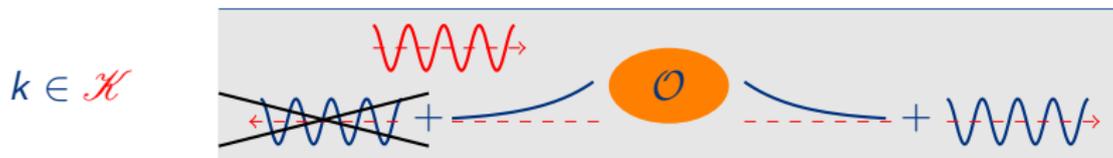


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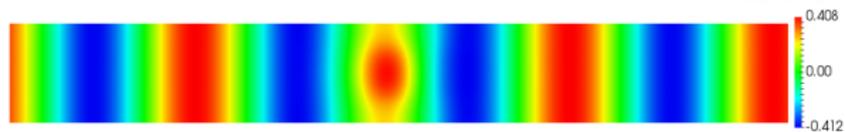
OBJECTIVE

Find a way to compute directly the set \mathcal{H} of no-reflection frequencies by solving an eigenvalue problem.

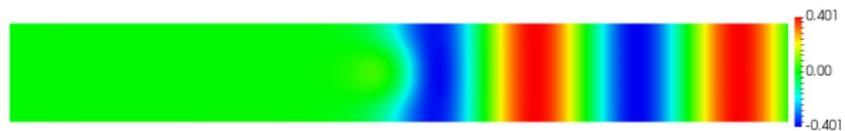
AN ILLUSTRATION OF NO-REFLECTION PHENOMENON



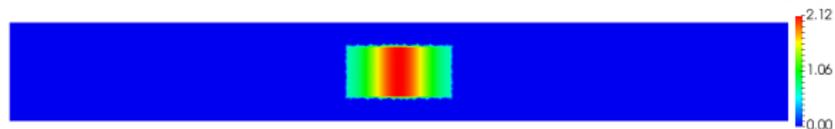
Incident field $u_{inc} = e^{ikx}$



Total field u



Scattered field u_{sca}



Perturbation ρ

THE MAIN IDEA

The total field u always satisfies the homogeneous equations:

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

where k^2 plays the role of an **eigenvalue**.

TRAPPED MODES

For $k \in \mathcal{T}$, the field of the trapped mode $u \in L^2(\Omega)$.



NO-REFLECTION

For $k \in \mathcal{K}$, the total field of the scattering problem $u \notin L^2(\Omega)$.



How to set an eigenvalue problem adapted to \mathcal{K} ?

THE MAIN IDEA

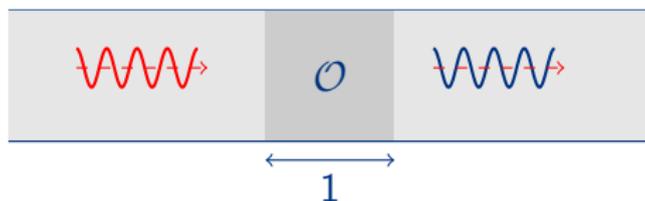
A SIMPLE AND IMPORTANT REMARK

For $k \in \mathcal{K}$, the total field is **ingoing** at the left-hand side of \mathcal{O} and **outgoing** at the right-hand side of \mathcal{O} .



The idea is to use a **complex scaling** (and numerically **PMLs**), with complex **conjugate** parameters at both sides of the obstacle, so that the transformed u will belong to $L^2(\Omega)$.

THE 1D CASE



The 1D case has been studied with a spectral point of view in:

H. Hernandez-Coronado, D. Krejcirik and P. Siegl,
Perfect transmission scattering as a \mathcal{PT} -symmetric spectral problem,
Physics Letters A (2011).

Our approach allows us to extend some of their results to **higher dimensions**.

An additional complexity comes from the presence of **evanescent modes**.

OUTLINE

- 1 A MAIN TOOL: THE COMPLEX SCALING (PML)
- 2 SPECTRUM OF TRAPPED MODES FREQUENCIES
- 3 SPECTRUM OF NO-REFLECTION FREQUENCIES
- 4 EXTENSIONS AND COMMENTS

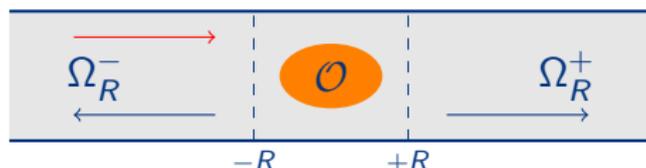
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A MAIN TOOL: THE COMPLEX SCALING

(PERFECTLY MATCHED LAYERS)

Perfectly Matched Layers are classically used to solve scattering problems in waveguides (Bécache et al., Kalvin, Lu et al., etc...)



We start by **splitting** the waveguide into three parts:

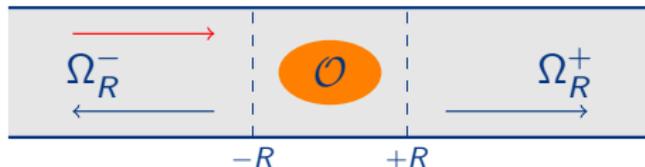
$\Omega_R = \Omega \cap \{|x| < R\}$, $\Omega_R^+ = \Omega \cap \{x > R\}$ and $\Omega_R^- = \Omega \cap \{x < -R\}$,

and we denote by:

- u the **total** field in Ω_R ,
- u^+ the **transmitted** wave in Ω_R^+ ,
- u^- the **reflected** wave in Ω_R^- .

A MAIN TOOL: THE COMPLEX SCALING

(PERFECTLY MATCHED LAYERS)



REFORMULATION OF THE SCATTERING PROBLEM:

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega_R) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega \cap \{|x| < R\})$$

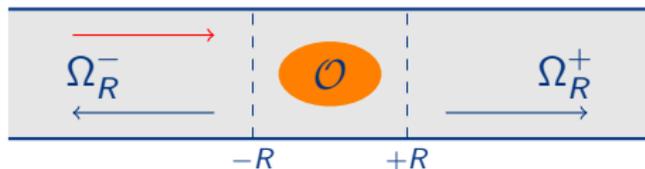
$$\Delta u^\pm + k^2 u^\pm = 0 \quad (\Omega_R^\pm) \quad \frac{\partial u^\pm}{\partial \nu} = 0 \quad (\partial\Omega \cap \{\pm x > R\})$$

$$u = u^+ \text{ and } \frac{\partial u}{\partial x} = \frac{\partial u^+}{\partial x} \quad (x = R)$$

$$u - u_{inc} = u^- \text{ and } \frac{\partial}{\partial x}(u - u_{inc}) = \frac{\partial u^-}{\partial x} \quad (x = -R)$$

A MAIN TOOL: THE COMPLEX SCALING

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FORMULATION WITH A SCALING IN Ω_R^\pm :

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega_R) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega \cap \{|x| < R\})$$

$$\Delta_\alpha u_\alpha^\pm + k^2 u_\alpha^\pm = 0 \quad (\Omega_R^\pm) \quad \frac{\partial u_\alpha^\pm}{\partial \nu} = 0 \quad (\partial\Omega \cap \{\pm x > R\})$$

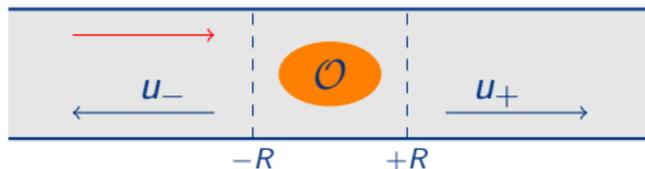
$$u = u_\alpha^+ \text{ and } \frac{\partial u}{\partial x} = \alpha \frac{\partial u_\alpha^+}{\partial x} \quad (x = R)$$

$$u - u_{inc} = u_\alpha^- \text{ and } \frac{\partial}{\partial x}(u - u_{inc}) = \alpha \frac{\partial u_\alpha^-}{\partial x} \quad (x = -R)$$

$$\text{with } u_\alpha^\pm(x, y) = u^\pm \left(\pm R + \frac{x \mp R}{\alpha}, y \right) \text{ for } (x, y) \in \Omega_R^\pm.$$

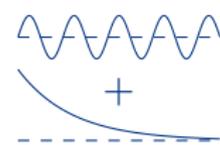
A MAIN TOOL: THE COMPLEX SCALING

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The magic idea of PMLs: take $\alpha \in \mathbb{C}$ such that $u_{\alpha}^{\pm} \in L^2(\Omega_R^{\pm})$.

If $\alpha = e^{-i\theta}$ with $0 < \theta < \pi/2$, propagating modes become evanescent:

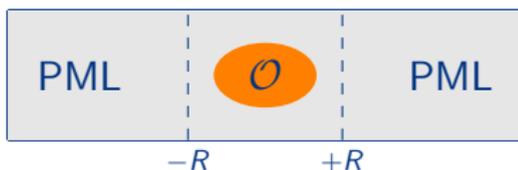
$$u^+(x, y) = \sum_{n \leq N_P} a_n \cos(n\pi y) e^{i\sqrt{k^2 - n^2\pi^2}(x-R)} + \sum_{n > N_P} a_n \cos(n\pi y) e^{-\sqrt{n^2\pi^2 - k^2}(x-R)}$$


$$u_{\alpha}^+(x, y) = \sum_{n \leq N_P} a_n \cos(n\pi y) e^{\frac{i\sqrt{k^2 - n^2\pi^2}}{\alpha}(x-R)} + \sum_{n > N_P} a_n \cos(n\pi y) e^{-\frac{\sqrt{n^2\pi^2 - k^2}}{\alpha}(x-R)}$$


and the same for u_{α}^{-} with the same α .

A MAIN TOOL: THE COMPLEX SCALING

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FINAL PML FORMULATION:

$$\begin{aligned}\Delta u + k^2(1 + \rho)u &= 0 \quad (\Omega_R) & \frac{\partial u}{\partial \nu} &= 0 \quad (\partial\Omega \cap \{|x| < R\}) \\ \Delta_{\alpha} u_{\alpha}^{\pm} + k^2 u_{\alpha}^{\pm} &= 0 \quad (\Omega_{\alpha}^{\pm}) & \frac{\partial u_{\alpha}^{\pm}}{\partial \nu} &= 0 \quad (\partial\Omega \cap \{\pm x > R\}) \\ u &= u_{\alpha}^{+} \text{ and } \frac{\partial u}{\partial x} = \alpha \frac{\partial u_{\alpha}^{+}}{\partial x} & & (x = R) \\ u - u_{inc} &= u_{\alpha}^{-} \text{ and } \frac{\partial}{\partial x}(u - u_{inc}) = \alpha \frac{\partial u_{\alpha}^{-}}{\partial x} & & (x = -R)\end{aligned}$$

where $\Delta_{\alpha} = e^{-2i\theta} \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$ and $u_{\alpha}^{\pm} \in L^2(\Omega_{\alpha}^{\pm})$.

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THE SPECTRAL PROBLEM FOR TRAPPED MODES

DEFINITION

A **trapped mode** of the perturbed waveguide is a solution $u \neq 0$ of

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

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such that $u \in L^2(\Omega)$.



Let us consider the following unbounded operator of $L^2(\Omega)$:

$$D(A) = \{u \in H^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\} \quad Au = -\frac{1}{1 + \rho} \Delta u$$

$$\Delta u + k^2(1 + \rho)u = 0 \iff Au = k^2u$$

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The **trapped modes** ($k \in \mathcal{T}$) correspond to real **eigenvalues** k^2 of A .

SURVIVAL GUIDE OF SPECTRAL THEORY

A is an unbounded operator with domain $D(A) \subset H$ (H Hilbert space)

RESOLVENT SET AND SPECTRUM

$\rho(A) = \{\lambda \in \mathbb{C}; A - \lambda I \text{ is bijective from } D(A) \text{ to } H\}$ and $\sigma(A) = \mathbb{C} \setminus \rho(A)$

The **spectrum** $\sigma(A)$ contains the **eigenvalues** but not only...

ESSENTIAL SPECTRUM

If $u_n \in D(A)$, $\|u_n\| = 1$, $u_n \rightarrow 0$ and $\|Au_n - \lambda u_n\| \rightarrow 0$ (Weyl sequence), we say that $\lambda \in \sigma_{\text{ess}}(A)$.

The **essential spectrum** $\sigma_{\text{ess}}(A)$ is stable under **compact perturbations**.

DISCRETE SPECTRUM

$\sigma_{\text{disc}}(A)$ is the set of isolated eigenvalues with finite multiplicity.

If A is **self-adjoint**, $\sigma(A) = \sigma_{\text{ess}}(A) \cup \sigma_{\text{disc}}(A) \subset \mathbb{R}$.

THE SPECTRAL PROBLEM FOR TRAPPED MODES

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$$Au = -\frac{1}{1+\rho}\Delta u \quad \text{with } D(A) = \{u \in H^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$

For the scalar product of $L^2(\Omega)$ with weight $1 + \rho$:

THE SPECTRAL PROBLEM FOR TRAPPED MODES

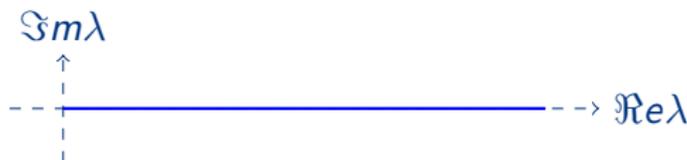
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SPECTRAL FEATURES OF A

- A is a positive self-adjoint operator.
- $\sigma(A) = \sigma_{\text{ess}}(A) = \mathbb{R}^+$ and $\sigma_{\text{disc}}(A) = \emptyset$



THE SPECTRAL PROBLEM FOR TRAPPED MODES

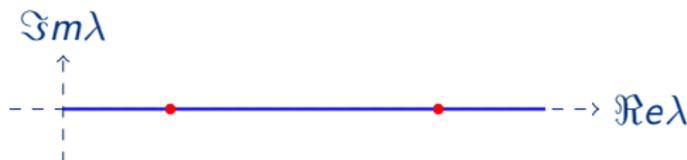
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SPECTRAL FEATURES OF A

- A is a positive self-adjoint operator.
- $\sigma(A) = \sigma_{\text{ess}}(A) = \mathbb{R}^+$ and $\sigma_{\text{disc}}(A) = \emptyset$
- Trapped modes are **embedded** eigenvalues of A !

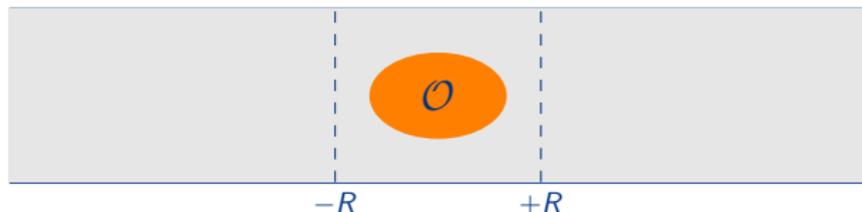


Solution: the **complex scaling** (Aguilar, Balslev, Combes, Simon 70)

COMPLEX SCALING FOR TRAPPED MODES

Let us consider now the following unbounded operator:

$$D(A_\alpha) = \{u \in L^2(\Omega); A_\alpha u \in L^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$
$$A_\alpha u = -\frac{1}{1 + \rho(x, y)} \left(\alpha(x) \frac{\partial}{\partial x} \left(\alpha(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$



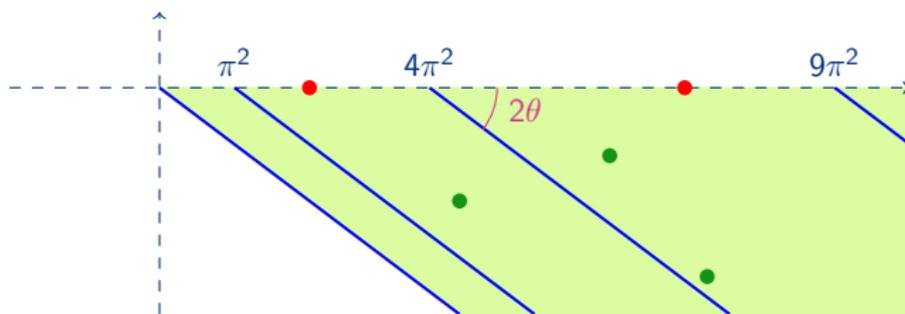
where $\alpha(x) = e^{-i\theta}$ $\alpha(x) = 1$ $\alpha(x) = e^{-i\theta}$

COMPLEX SCALING FOR TRAPPED MODES

SPECTRAL FEATURES OF A_α

- A_α is a **non self-adjoint** operator.
- $\sigma_{\text{ess}}(A_\alpha) = \cup_{n \geq 0} \{n^2\pi^2 + e^{-2i\theta} t^2; t \in \mathbb{R}\}$
- $\sigma(A_\alpha) = \sigma_{\text{ess}}(A_\alpha) \cup \sigma_{\text{disc}}(A_\alpha)$
- $\sigma(A_\alpha) \subset \{z \in \mathbb{C}; -2\theta < \arg(z) \leq 0\}$

(see [Kalvin, Kim and Pasciak](#))

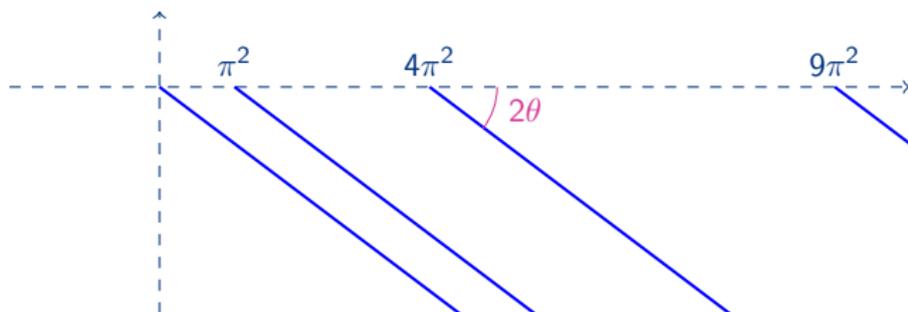


SOME ELEMENTS OF PROOF

Proof of the second item:

$$\begin{aligned}\sigma_{\text{ess}}(A_\alpha) &= \sigma_{\text{ess}}(-\Delta_\theta) \\ &= \bigcup_{n \geq 0} \sigma_{\text{ess}}(-\Delta_\theta^{(n)}) \\ &= \bigcup_{n \geq 0} \{n^2\pi^2 + e^{-2i\theta} t^2; t \in \mathbb{R}\}\end{aligned}$$
$$\begin{aligned}\Delta_\theta &= e^{-2i\theta} \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \\ \Delta_\theta^{(n)} &= e^{-2i\theta} \frac{\partial^2}{\partial x^2} + n^2\pi^2\end{aligned}$$

Essential spectrum of A_α :



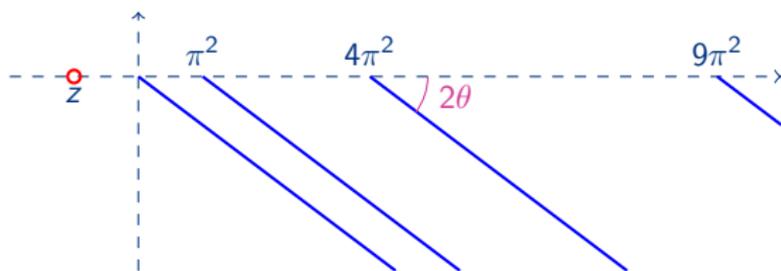
SOME ELEMENTS OF PROOF

Proof of the third item: $\sigma(A_\alpha) = \sigma_{ess}(A_\alpha) \cup \sigma_{disc}(A_\alpha)$

The result follows from analytic Fredholm theorem because:

- 1 $U = \mathbb{C} \setminus \sigma_{ess}(A_\alpha)$ is a **connected** set.
- 2 There is a point $z \in U$ such that $A_\alpha - z$ is invertible (coerciveness).

(See D.E. Edmunds and W.D. Evans, Spectral theory and differential operators.)

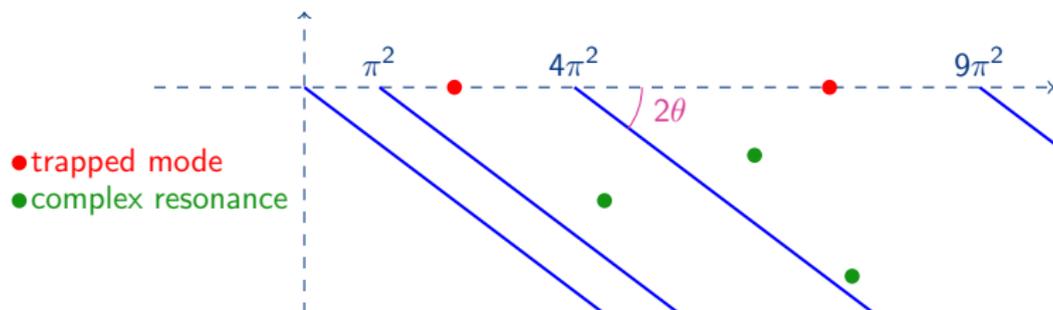


TRAPPED MODES AND COMPLEX RESONANCES

DISCRETE SPECTRUM OF A_α

- Trapped modes correspond to **discrete** real eigenvalues of A_α !
- Other eigenvalues correspond to **complex resonances**, with a field u exponentially growing at infinity.

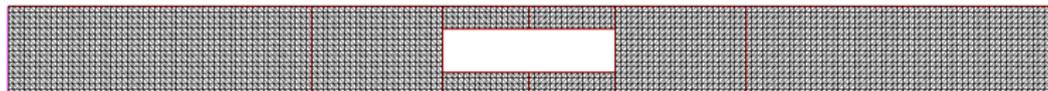
Spectrum of A_α :



NUMERICAL ILLUSTRATION

The numerical results have been obtained by a finite element discretization with **FreeFem++**.

Here the scatterer is a **non-penetrable rectangular obstacle** in the middle of the waveguide:



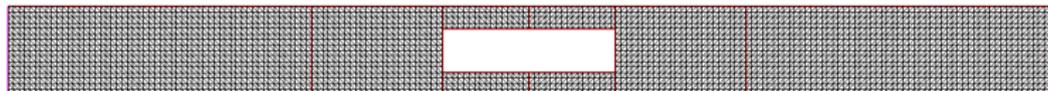
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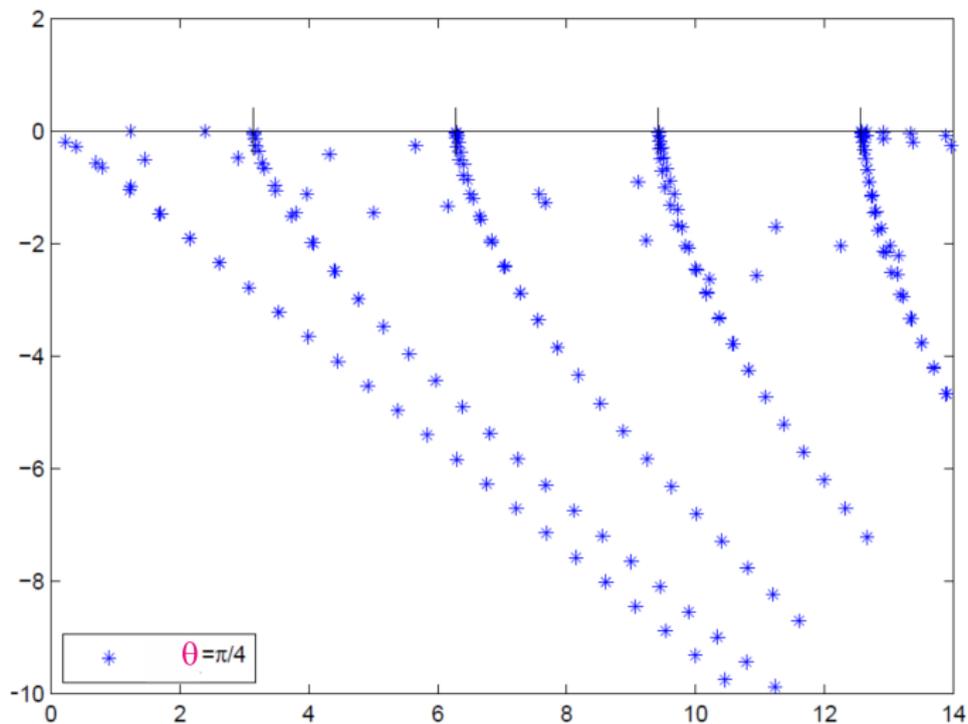


We put PMLs in the magenta parts:

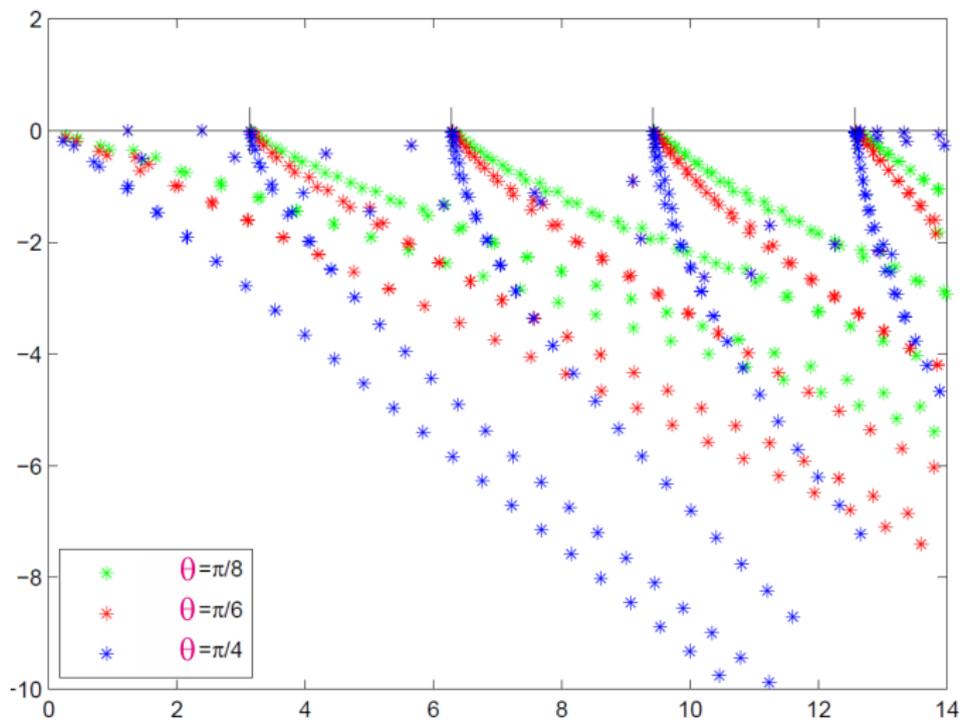


In the next slides, we represent the **square-root of the spectrum**, which corresponds to k values.

NUMERICAL ILLUSTRATION

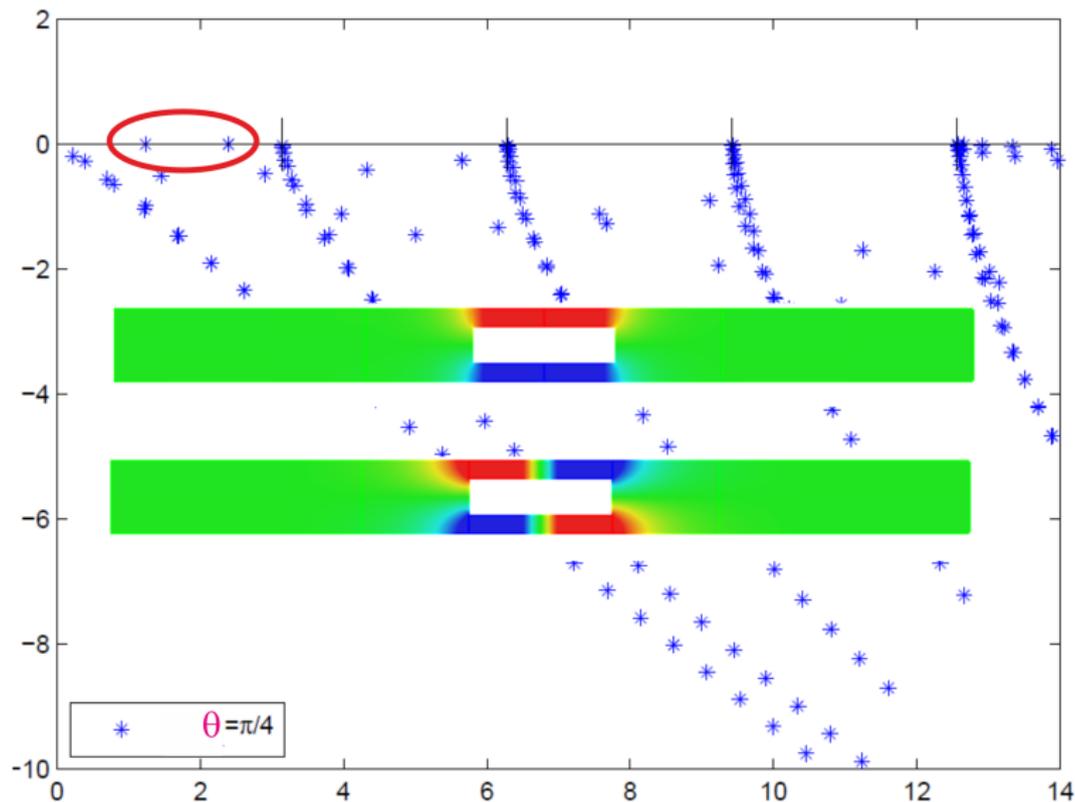


NUMERICAL ILLUSTRATION



NUMERICAL ILLUSTRATION

There are **two trapped modes**:



OUTLINE

- 1 A MAIN TOOL: THE COMPLEX SCALING (PML)
- 2 SPECTRUM OF TRAPPED MODES FREQUENCIES
- 3 SPECTRUM OF NO-REFLECTION FREQUENCIES
- 4 EXTENSIONS AND COMMENTS

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

A SIMPLE AND IMPORTANT REMARK

For $k \in \mathcal{K}$, the total field is **ingoing** at the left-hand side of \mathcal{O} and **outgoing** at the right-hand side of \mathcal{O} .



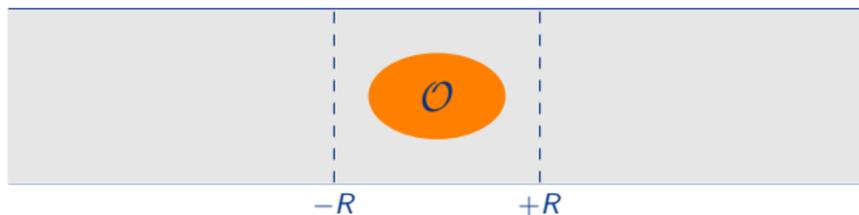
The idea is to use a **complex scaling** (and numerically **PMLs**), with complex **conjugate** parameters at both sides of the obstacle, so that the transformed **total field** u will belong to $L^2(\Omega)$.

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

Let us consider now the following unbounded operator:

$$D(A_{\tilde{\alpha}}) = \{u \in L^2(\Omega); A_{\tilde{\alpha}}u \in L^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$
$$A_{\tilde{\alpha}}u = -\frac{1}{1 + \rho(x, y)} \left(\tilde{\alpha}(x) \frac{\partial}{\partial x} \left(\tilde{\alpha}(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$



$$\tilde{\alpha}(x) = e^{i\theta}$$

$$\tilde{\alpha}(x) = 1$$

$$\tilde{\alpha}(x) = e^{-i\theta}$$

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

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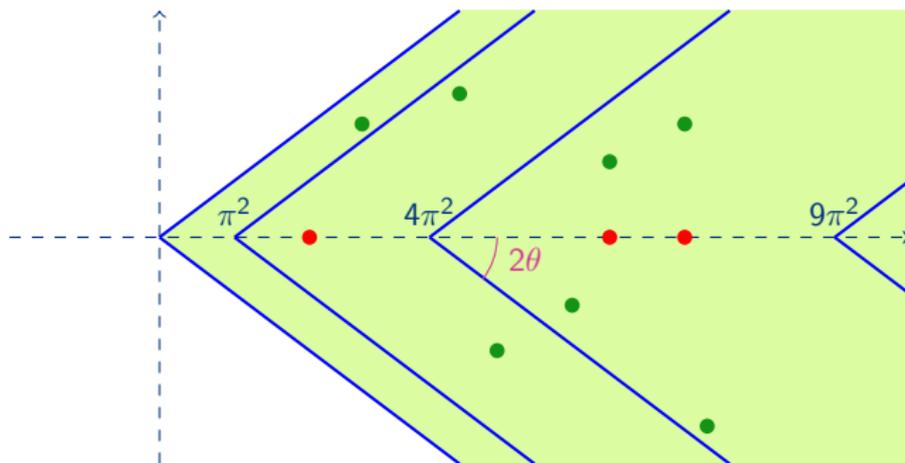
SPECTRAL FEATURES OF $A_{\tilde{\alpha}}$

- $A_{\tilde{\alpha}}$ is a non self-adjoint operator.
- $\sigma_{\text{ess}}(A_{\tilde{\alpha}}) = \bigcup_{n \geq 0} \{n^2\pi^2 + e^{2i\theta}t^2; t \in \mathbb{R}\} \cup \{n^2\pi^2 + e^{-2i\theta}t^2; t \in \mathbb{R}\}$
- $\sigma_{\text{disc}}(A_{\tilde{\alpha}}) \subset \{z \in \mathbb{C}; -2\theta < \arg(z) < 2\theta\}$

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

Typical expected spectrum of $A_{\tilde{\alpha}}$:



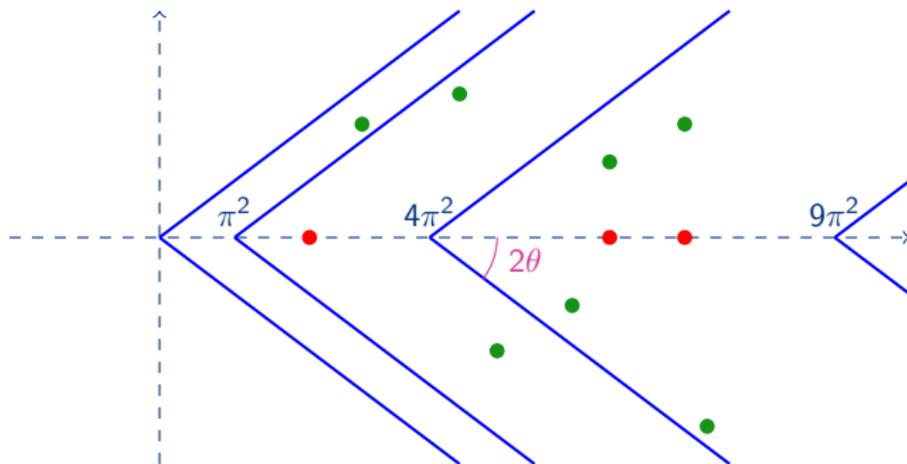
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A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLS

Typical expected spectrum of $A_{\tilde{\alpha}}$:



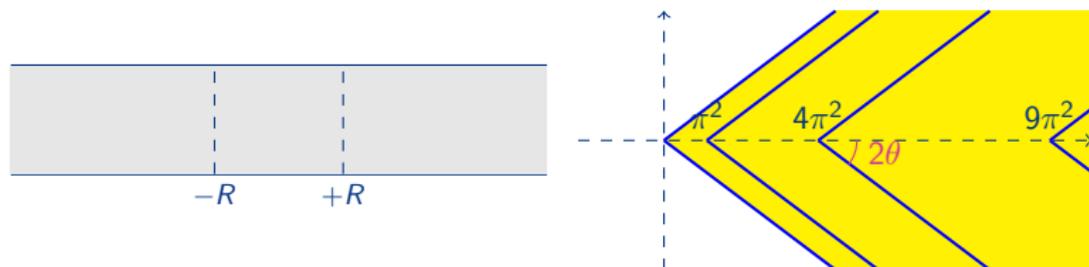
Difficulty: $\mathbb{C} \setminus \sigma_{\text{ess}}(A_{\tilde{\alpha}})$ is not a connected set.

CONJECTURE

$$\sigma(A_{\tilde{\alpha}}) = \sigma_{\text{ess}}(A_{\tilde{\alpha}}) \cup \sigma_{\text{disc}}(A_{\tilde{\alpha}}) \text{ if } \rho \neq 0$$

PATHOLOGICAL CASES

In the **unperturbed case** ($\rho = 0$):



All k^2 in the yellow zone are eigenvalues of $A_{\tilde{\alpha}}$!

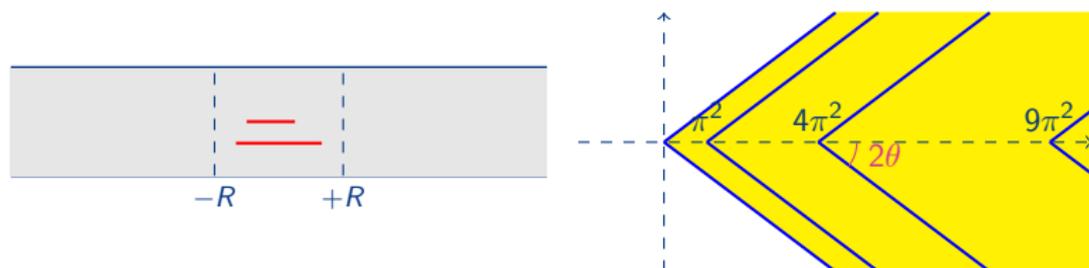
Proof: Use the stretched plane wave as an eigenvector:

$$A_{\tilde{\alpha}} u = k^2 u$$

$$\text{for } u(x, y) = \begin{cases} e^{ik(-R+(x+R)e^{-i\theta})} & \text{if } x < -R \\ e^{ikx} & \text{if } -R < x < R \\ e^{ik(R+(x-R)e^{i\theta})} & \text{if } R < x \end{cases}$$

PATHOLOGICAL CASES

And the same result holds with **horizontal cracks** !



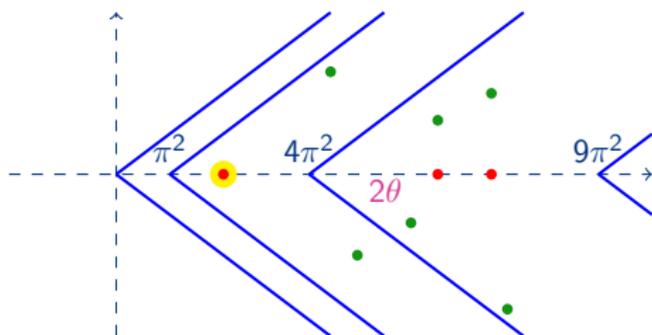
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LINK BETWEEN THE DISCRETE SPECTRUM AND \mathcal{K}



For real eigenvalues, the eigenmode is such that

u is ingoing



u is outgoing

LINK BETWEEN THE DISCRETE SPECTRUM AND \mathcal{K}

For $k^2 \in \sigma_{disc}(A_{\tilde{\alpha}}) \cap \mathbb{R}$, the eigenmode is such that:



There are two cases:

- Either u on the left-hand side contains a propagating part and it is a case of **no-reflection**: $k \in \mathcal{K}$.
- Either u is evanescent on both sides and k is associated to a **trapped mode**: $k \in \mathcal{T}$.

THEOREM

$$\sigma_{disc}(A_{\tilde{\alpha}}) \cap \mathbb{R} = \{k^2 \in \mathbb{R}; k \in \mathcal{K} \cup \mathcal{T}\}$$

Remember that:

$$A_{\tilde{\alpha}} u = -\frac{1}{1 + \rho(x, y)} \left(\tilde{\alpha}(x) \frac{\partial}{\partial x} \left(\tilde{\alpha}(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$

and that

$$\tilde{\alpha}(-x) = \overline{\tilde{\alpha}(x)}$$

CONSEQUENCE

If the obstacle is symmetric in x :

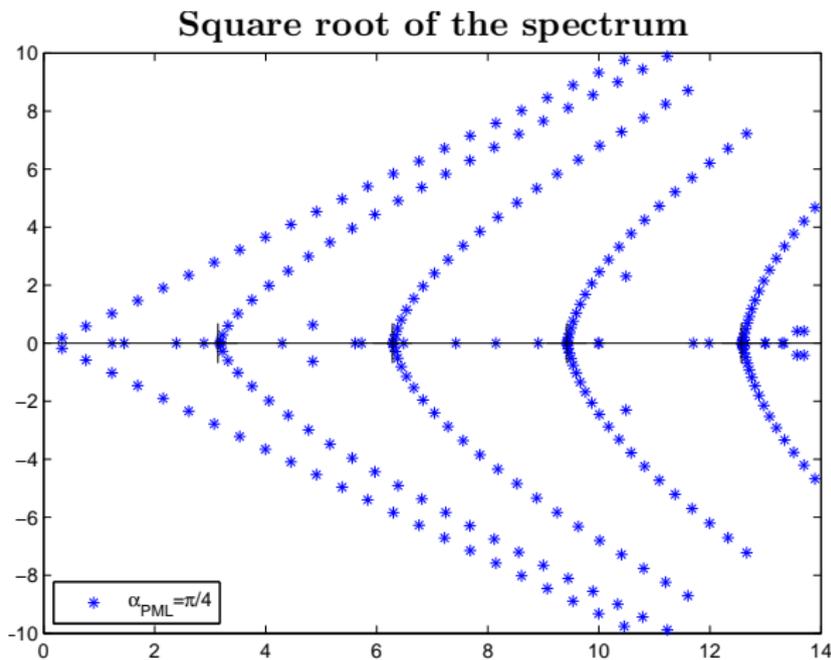
$$\rho(-x, y) = \rho(x, y)$$

$A_{\tilde{\alpha}}$ is \mathcal{PT} -symmetric and its spectrum is stable by complex conjugation:

$$\sigma(A_{\tilde{\alpha}}) = \overline{\sigma(A_{\tilde{\alpha}})}$$

NUMERICAL ILLUSTRATION

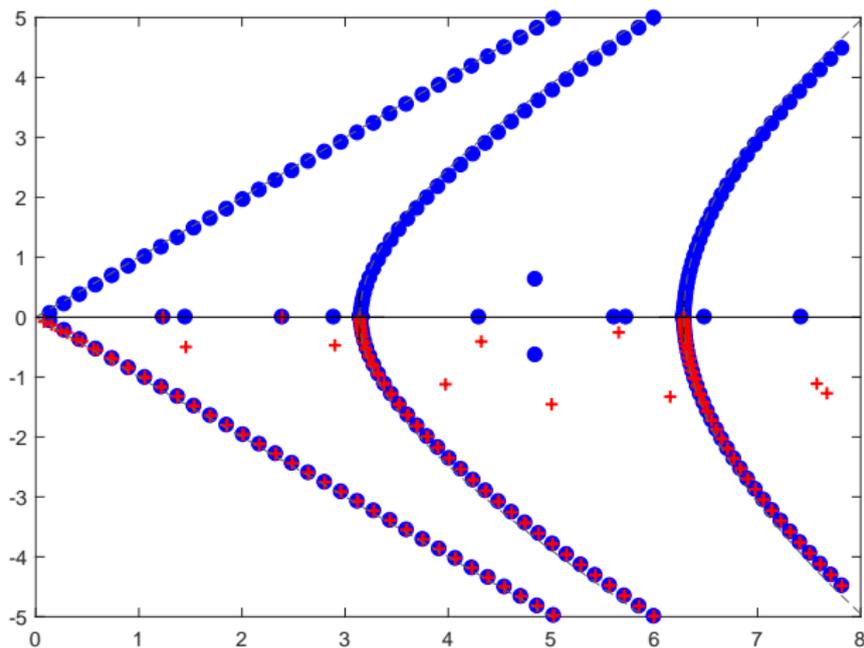
FOR A RECTANGULAR SYMMETRIC CAVITY



- The spectrum is symmetric w.r.t. the real axis (\mathcal{PT} -symmetry) .
- There are much **more real eigenvalues** than for trapped modes.

NUMERICAL ILLUSTRATION

FOR A RECTANGULAR SYMMETRIC CAVITY

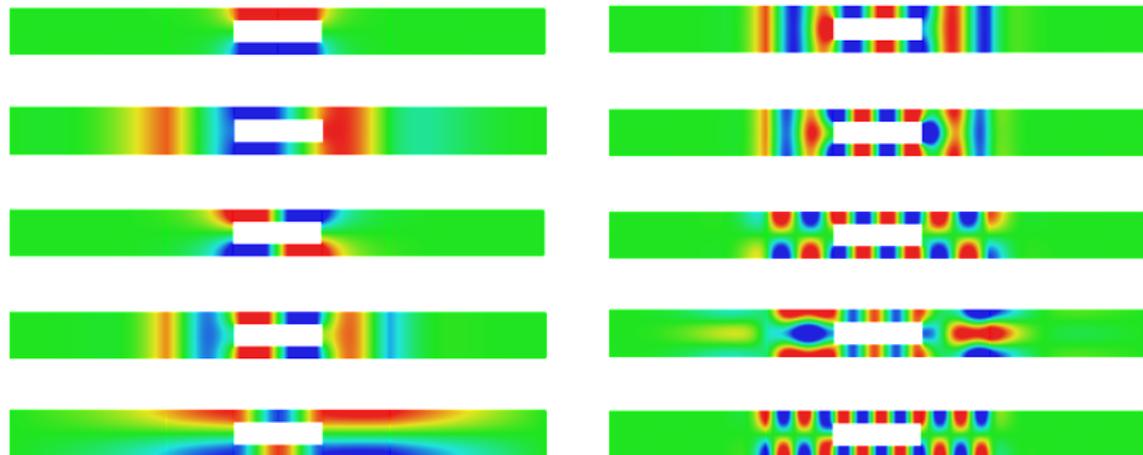


Red: classical PMLs

Blue: conjugate PMLs

NUMERICAL ILLUSTRATION

FOR A RECTANGULAR SYMMETRIC CAVITY



This is a representation of the computed modes for the **10 first real eigenvalues** and in the whole computational domain (including PMLs).

VALIDATION

Let us focus on the eigenmodes such that $0 < k < \pi$:



First trapped mode:
 $k = 1.2355 \dots$



Second trapped mode:
 $k = 2.3897 \dots$



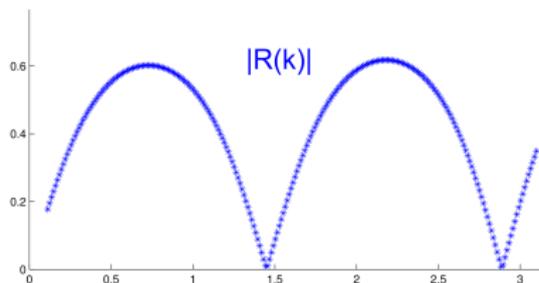
First no-reflection mode:
 $k = 1.4513 \dots$



Second no-reflection mode:
 $k = 2.8896 \dots$

VALIDATION

To validate this result, we compute the amplitude of the reflected plane wave for $0 < k < \pi$:



First no-reflection mode:

$$k = 1.4513 \dots$$



Second no-reflection mode:

$$k = 2.8896 \dots$$

There is a perfect agreement!

NO-REFLECTION MODE IN THE TIME-DOMAIN

Below we represent $\Re(u(x, y)e^{-i\omega t})$ with $u...$

...a no-reflection mode:

with the corresponding incident propagating mode:

We observe **no reflection** but a **phase shift** in the transmitted wave.

NO-REFLECTION MODE IN THE TIME-DOMAIN

Below we represent $\Re(u(x, y)e^{-i\omega t})$ with $u...$

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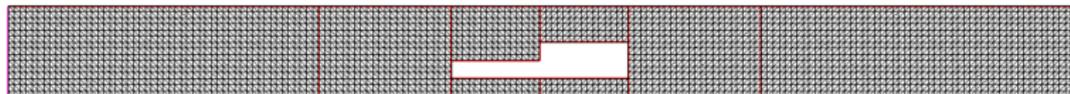
with the corresponding incident propagating mode:

We observe **no reflection** but a **phase shift** in the transmitted wave.

NUMERICAL ILLUSTRATION

IN A NON \mathcal{PT} -SYMMETRIC CASE

Here the scatterer is a **not symmetric in x** , and neither in y :

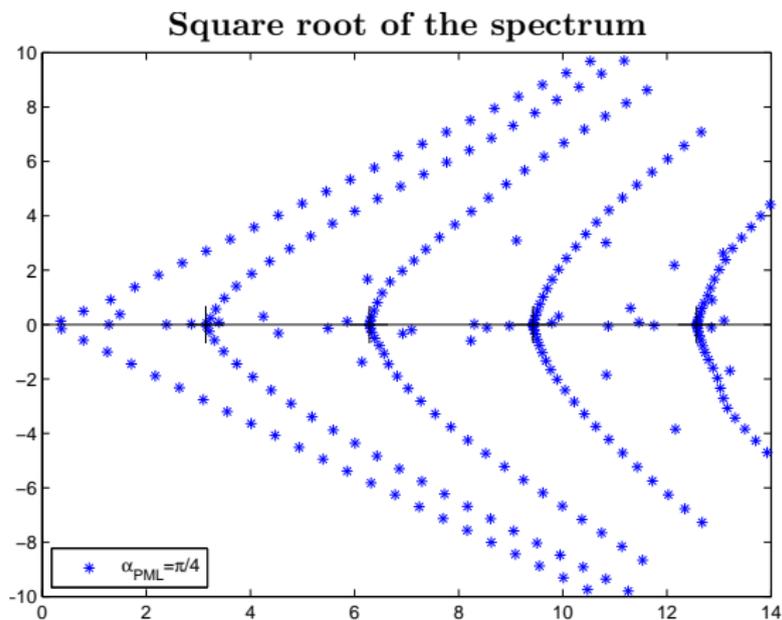


We expect:

- No trapped modes
- No invariance of the spectrum by complex conjugation

NUMERICAL ILLUSTRATION

IN A NON \mathcal{PT} -SYMMETRIC CASE

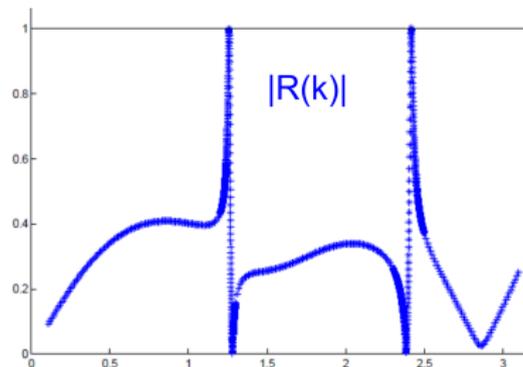


- The spectrum is no longer symmetric w.r.t. the real axis.
- There are several eigenvalues near the real axis.

NUMERICAL ILLUSTRATION

IN A NON \mathcal{PT} -SYMMETRIC CASE

Again results can be validated by computing $R(k)$ for $0 < k < \pi$:



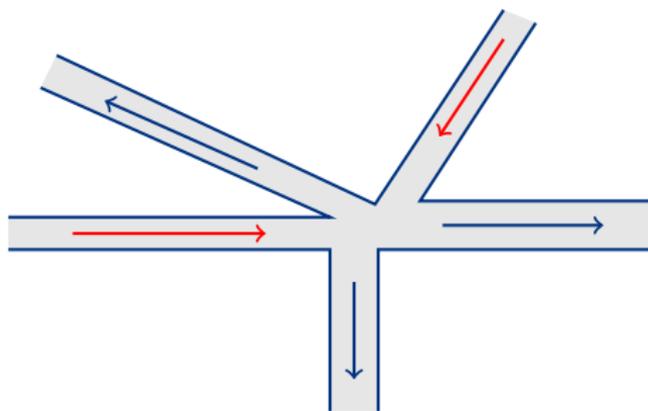
$$k = 1.2803 + 0.0003i \quad k = 2.3868 + 0.0004i \quad k = 2.8650 + 0.0241i$$

Complex eigenvalues also contain useful information about **almost no-reflection**.

OUTLINE

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MULTI-PORT WAVEGUIDES JUNCTION



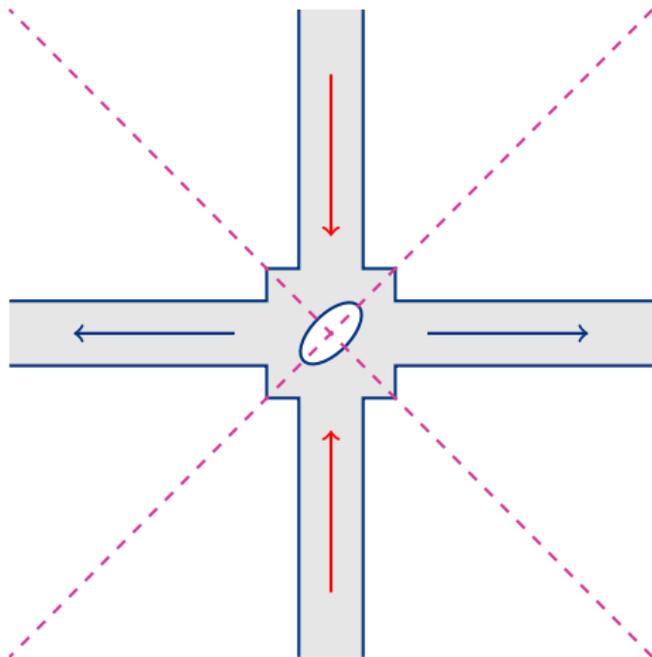
OBJECTIVE

Find (k, u) such that u is ingoing in some ports and outgoing in the others.

For an N -ports junction, there are 2^{N-1} such problems and corresponding spectra.

MULTI-PORT WAVEGUIDES JUNCTION

This is a **bar-bar** example of such problem:

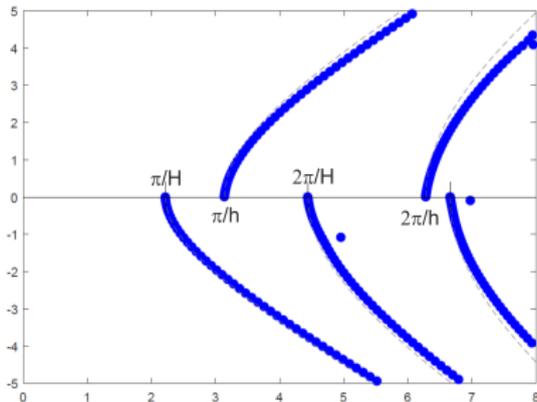


There are two axes of \mathcal{PT} -symmetry!

MULTI-PORT WAVEGUIDES JUNCTION

JUNCTION OF DIRICHLET WAVEGUIDES

An interesting configuration is the **junction of 2 different Dirichlet waveguides**.



CONSEQUENCES

- Now $\mathbb{C} \setminus \sigma_{\text{ess}}(A_{\tilde{\alpha}})$ is a **connected** set!
- Our "new" eigenvalues correspond in fact to **classical complex resonances in non-classical sheets** of the Riemann surface.....

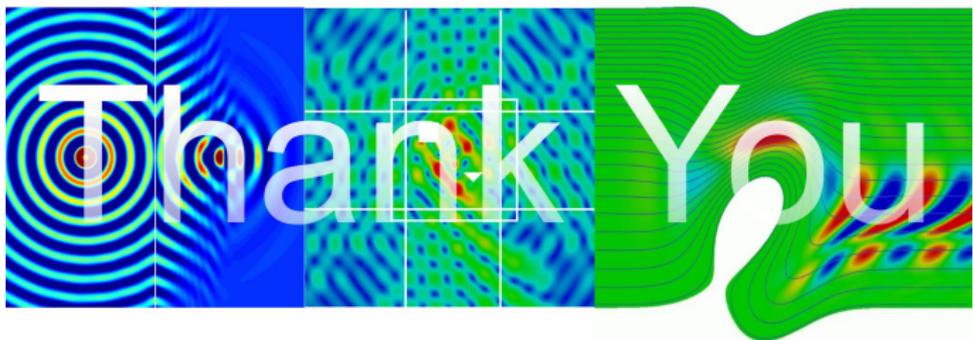
CONCLUSION

There is still a lot of work to do !

- Clarify the link between our new spectrum and classical resonance frequencies.
- Prove the existence of no-reflection frequencies ($\mathcal{K} \neq \emptyset$), at least in \mathcal{PT} -symmetric cases.
- Justify the numerics (absence of spectral pollution).
- Find similar spectral approaches for other phenomena in waveguides (perfect invisibility, total reflection, modal conversion, etc...)
- ...

These results have been published in:

Trapped modes and reflectionless modes as eigenfunctions of the same spectral problem, Anne-Sophie Bonnet-BenDhia, Lucas Chesnel and Vincent Pagneux, Proceedings of the Royal Society A, 2018.



Thank You